Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Machinatan	D C	20540	
Nashington,	D.C.	20049	

	0.7
Check this box if no longer subject	SI
to Section 16. Form 4 or Form 5	
obligations may continue. See	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  MCCAFFERY MICHAEL G					2. Issuer Name <b>and</b> Ticker or Trading Symbol C3.ai, Inc. [ AI ]										ck all app	licable)	rting Person(s) to Issuer				
(Last) C/O C3.2		, ,	Middle	3)	3. Date of Earliest Transaction (Month/Day/Young) 03/15/2021								$\neg$		Office below	er (give titl	e	Other below	(specify )		
(Street)	APORT BL	VD, SUITE 500			4. If A							6. Individual or Joint/Group Filing (Check Applicable Line)									
REDWC CITY	OOD CA	A 9	4063	·										X Form filed by One Reporting Person  Form filed by More than One Reporting Person							
(City)	(Sta	ate) (Z	Zip)																		
ı		Table	I - N	Ion-Deriva	tive S	Secui	rities A	Acqu	uire	ed, D	isposed o	f, or E	Benefic	ciall	y Own	ed					
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Co	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			nd 5) Securiti Benefici Owned I		es ally following	6. Ownership Form: Direct (D) or Indirect (I)		7. Nature of Indirect Beneficial Ownership			
								Со	de	v	Amount	(A) or (D) Price			Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	4)	(Instr. 4)		
Class A Common Stock 03/15/20		03/15/202	1		5	S		106,904	D	\$86.5	<b>1</b> <sup>(1)</sup>	748,508				See Footnote <sup>(2)</sup>					
Class A Common Stock		03/15/202	21				S		25,873 D \$87		\$87.4	<b>4</b> (3)	722,635		I		See Footnote <sup>(2)</sup>				
Class A Common Stock 0			03/15/202	21				S		51,694	D	D \$88.33 <sup>(4)</sup>		670,941				See Footnote <sup>(2)</sup>			
Class A (	Class A Common Stock		03/15/202	21				S		5,012	D	\$89.3	<b>5</b> <sup>(5)</sup>	665,929		I		See Footnote <sup>(2)</sup>			
Class A Common Stock													321	,699		D					
		Tal	ble II								posed of, , convertib				Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exec if any	Deemed cution Date,	4. Transa Code ( 8)	ection	ber	6. Da	ate Exe	ercisable and	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8. De Se (Ir	B. Price of Derivative Security Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)			
		Code V (A)					(A) (		Date Exer	e rcisabl	Expiration e Date	Title	Amount or Number of Shares	per							
Explanation	n of Respons	ses:																			

- 1. Represents weighted average sales price. The shares were sold at prices ranging from \$86.00 to \$86.99. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 2. Shares are held by the McCaffery Family Trust as amended 12/18/00, of which the Reporting Person is trustee.
- 3. Represents weighted average sales price. The shares were sold at prices ranging from \$87.00 to \$87.995. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 4. Represents weighted average sales price. The shares were sold at prices ranging from \$88.00 to \$88.99. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 5. Represents weighted average sales price. The shares were sold at prices ranging from \$89.00 to \$89.80. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

## Remarks:

/s/ Brady Mickelsen, Attorney-in-Fact 03/17/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.