SEC Form 4	
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## FORM 4

Check this box if no longer subject

to Section 16. Form 4 or Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Instruction 1(b)	y continue. See ).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940	hours per response: 0.5				
1. Name and Add Siebel Livin amended		g Person <sup>*</sup> / <u>d 7/27/93, as</u>	2. Issuer Name and Ticker or Trading Symbol <u>C3.ai, Inc.</u> [ AI ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title below) X Other (specify below)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/13/2021	Former 10% holder				
C/O C3.AI, IN	IC.							
1300 SEAPOR	RT BLVD, SU	ITE 500						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) REDWOOD CITY CA 94063				X Form filed by One Reporting Person				
		94063		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Datification (Month/Day/Year)		Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	07/13/2021		<b>S</b> <sup>(1)</sup>		132,086	D	\$55.35 <sup>(2)</sup>	6,426,477	D	
Class A Common Stock	07/13/2021		<b>S</b> <sup>(1)</sup>		104,017	D	\$56.5 <sup>(3)</sup>	6,322,460	D	
Class A Common Stock	07/13/2021		S <sup>(1)</sup>		3,350	D	\$57 <sup>(4)</sup>	6,319,110	D	
Class A Common Stock	07/14/2021		<b>S</b> <sup>(1)</sup>		243,626	D	\$51.73 <sup>(5)</sup>	6,075,484	D	
Class A Common Stock	07/14/2021		S <sup>(1)</sup>		101,521	D	<b>\$52.7</b> <sup>(6)</sup>	5,973,963	D	
Class A Common Stock	07/14/2021		S <sup>(1)</sup>		62,176	D	\$53.83 <sup>(7)</sup>	5,911,787	D	
Class A Common Stock	07/14/2021		S <sup>(1)</sup>		16,815	D	\$54.65 <sup>(8)</sup>	5,894,972	D	
Class A Common Stock	07/14/2021		S <sup>(1)</sup>		3,700	D	<b>\$</b> 55.57 <sup>(9)</sup>	5,891,272	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. Transaction pursuant to a previously established Rule 10b5-1 Plan.

2. Represents weighted average sales price. The shares were sold at prices ranging from \$54.975 to \$55.97. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. Represents weighted average sales price. The shares were sold at prices ranging from \$55.975 to \$56.95. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

4. Represents weighted average sales price. The shares were sold at prices ranging from \$56.98 to \$57.02. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

5. Represents weighted average sales price. The shares were sold at prices ranging from \$51.25 to \$52.24. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

6. Represents weighted average sales price. The shares were sold at prices ranging from \$52.25 to \$53.2475. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

7. Represents weighted average sales price. The shares were sold at prices ranging from \$53.25 to \$54.24. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

8. Represents weighted average sales price. The shares were sold at prices ranging from \$54.25 to \$55.24. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

9. Represents weighted average sales price. The shares were sold at prices ranging from \$55.35 to \$55.60. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

### **Remarks:**

<u>/s/ Brady Mickelsen,</u> <u>Attorney-in-Fact</u>

07/15/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.