SEC For	m 4 FORM ·	4 (	JNITED S	ТАТЕ	ES	SEC					ANGE	E COM	MISSIO	N			
				Washington, D.C. 20549							Esti		OMB APPROVA OMB Number: 323 Estimated average burden hours per response:				
to Section 16. Form 4 or Form 5 obligations may continue. See				Filed p	T OF CHANGES IN BENEFICIAL OWNERS										3235-0287 len 0.5		
			*				( )			. ,	t of 1940		Relationshi	of Ren	orting Per	son(s) to I	SSUPL
1. Name and Address of Reporting Person <sup>*</sup> <u>SIEBEL THOMAS M</u>											Relationship of Reporting Person(s) to Issuer       Check all applicable)       X     Director       X     Officer (give title       Officer (specify				wner		
(Last) (First) (Middle) C/O C3.AI, INC. 1300 SEAPORT BLVD, SUITE 500				3. Date of Earliest Transaction (Month/Day/Year)     X     Onder (give nue below)     Onder (specbelow)       06/14/2021     Chief Executive Officer													
1300 SE.	APORT BL	VD, SUITE 5	JU														
(Street) REDWOOD CA 94603 CITY					Line) Form fi								filed by filed by	Joint/Group Filing (Check Applicable iled by One Reporting Person iled by More than One Reporting			
(City)	City) (State) (Zip)																
		Tab	le I - Non-De	erivati	ive	Secur	ities A	cquir	red, I	Disposed	of, or	Benefic	ally Own	ed			
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yea				ar) (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acqui Disposed Of (D) (In			) Securitie Beneficia Owned F	5. Amount of Securities Beneficially Owned Following Reported		irect Ind Be (I) Ov	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) or (D)	Price	Transacti (Instr. 3 a	on(s)	(Instr. 4)		
Class A Common Stock 06/14/2021							S <sup>(1)</sup>		156,920	D	\$59.92 <sup>(2</sup>	<sup>2)</sup> 7,082	,552	I	Se Fo	ee Dotnote <sup>(3)</sup>	
Class A Common Stock 06/14/2021				/2021				S <sup>(1)</sup>		80,394	D	\$60.99 <sup>(4</sup>	<sup>4)</sup> 7,002	,158	I	Se Fo	ee ootnote <sup>(3</sup>
Class A Common Stock 06/14/2021			/2021				S <sup>(1)</sup>		114,095	D	\$61.91 <sup>(!</sup>	<sup>5)</sup> 6,888	,063	I	Se Fo	e ootnote <sup>(3</sup>	
Class A Common Stock 06/15/202			/2021				S <sup>(1)</sup>		193,136	D	\$57.28(	<sup>5)</sup> 6,694	,927	I	Se Fo	e potnote <sup>(3</sup>	
Class A Common Stock 06/15/2021			/2021				S <sup>(1)</sup>		87,739	D	\$58.29 <sup>(7</sup>	<sup>7)</sup> <b>6,60</b> 7	,188	I	Se Fo	ee ootnote <sup>(3</sup>	
Class A Common Stock 06/15/2021			/2021				S <sup>(1)</sup>		42,075	D	\$59.43 <sup>(8</sup>	<sup>3)</sup> 6,565	,113	I		ootnote <sup>(3)</sup>	
Class A Common Stock 06/15/2021				/2021				S <sup>(1)</sup>		6,550	D	\$60.03 <sup>(!</sup>		-	I		e ootnote <sup>(3</sup>
Class A C	Common St	ock			+							<u> </u>	1,756	,390	D		
Class A Common Stock													9,2	16	I		ootnote <sup>(1</sup>
Class A Common Stock													170,	294	I		potnote <sup>(1</sup>
Class A Common Stock					<u> </u>			$\downarrow$				72,695				See Footnote <sup>(12</sup> See	
Class A C	Common St												1,237		Ι		otnote <sup>(1</sup>
		Т	able II - Der (e.g							isposed o s, convert				d			
1. Title of Derivative Security (Instr. 3)	ive Conversion Date E y or Exercise (Month/Day/Year) if		r) if any	Deemed 4. Eccution Date, Tra		5. Numi of code (Instr. ) (A) code (Instr. ) (A) code (Instr. 3 (Instr. 3 (Instr. 3 and 5)		er 6. Date E Expiratio (Month/E es d			Amo Secu Und Deri	tle and bunt of urities erlying vative urity (Instr. d 4)	8. Price of Derivative Security (Instr. 5)	derivati Securit Benefic Owned Followi Reporte	curities Form neficially Dire ned or In lowing (I) (In ported nsaction(s)		Benefic Owners (Instr. 4
					Code	v		Da Da	te ercisa	Expiration Date	on Title	Amount or Number of Shares					

1. Name and Address of Reporting Person

SIEBEL THOMAS M

(Last)	(First)	(Middle)
C/O C3.AI, INC.		
1300 SEAPORT B	LVD, SUITE 500	
(Street)		
REDWOOD CITY	CA	94603
(City)	(State)	(Zip)
1. Name and Address of <u>Siebel Living T</u>	of Reporting Person <sup>*</sup> Trust u/a/d 7/27/9	<u>3, as amended</u>
(Last)	(First)	(Middle)
C/O C3.AI, INC.		(Middle)
C/O C3.AI, INC. 1300 SEAPORT B	LVD, SUITE 500	(mean)
l i i i i i i i i i i i i i i i i i i i	LVD, SUITE 500	
1300 SEAPORT B		94063

## Explanation of Responses:

1. Transaction pursuant to a previously established Rule 10b5-1 Plan.

2. Represents weighted average sales price. The shares were sold at prices ranging from \$59.49 to \$60.45. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. The shares are held by The Siebel Living Trust u/a/d 7/27/93, as amended, of which the Reporting Person is trustee.

4. Represents weighted average sales price. The shares were sold at prices ranging from \$60.53 to \$61.525. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

5. Represents weighted average sales price. The shares were sold at prices ranging from \$61.53 to \$62.50. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

6. Represents weighted average sales price. The shares were sold at prices ranging from \$56.92 to \$57.91. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

7. Represents weighted average sales price. The shares were sold at prices ranging from \$57.92 to \$58.91. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

8. Represents weighted average sales price. The shares were sold at prices ranging from \$58.92 to \$59.91. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

9. Represents weighted average sales price. The shares were sold at prices ranging from \$59.92 to \$60.20. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

10. The shares are held by First Virtual Holdings, LLC, of which the Reporting Person is Chairman.

11. The shares are held by Siebel Asset Management, L.P., of which the Reporting Person is the general partner.

12. The shares are held by Siebel Asset Management III, L.P., of which the Reporting Person is the general partner.

13. The shares are held by The Siebel 2011 Irrevocable Children's Trust, of which the Reporting Person is co-trustee.

**Remarks:** 

<u>/s/ Brady Mickelsen,</u>
Attorney-in-Fact
<u>/s/ Brady Mickelsen,</u>
<u>Attorney-in-Fact</u>

06/16/2021

## 06/16/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.