## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

.IIIE3	AND	TAIN'	GE (	IIVIIO

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

D

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  WARD JR STEPHEN M				2. Issuer Name and Ticker or Trading Symbol C3.ai, Inc. [ AI ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner			
· · · · · ·	Last) (First) (Middle) C/O C3.AI, INC. 1400 SEAPORT BLVD			3. Date of Earliest Transaction (Month/Day/Year) 12/09/2024						Officer (give title Other (specify below) below)			
(Street) REDWOOD CITY (City)	CA (State)	94063 (Zip)	4. If Am					6. Indiv	1 <u>-</u>				
		Table I - No	n-Derivative S	ecurities Acq	uired,	Dis	posed of, o	r Bene	eficially (	Owned			
Date		2. Transaction Date (Month/Day/Year)	Execution Date,		action (Instr.			(A) or 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Commo	on Stock		12/09/2024		M <sup>(1)</sup>		102,795	Α	\$4.68	649,290	D		
Class A Commo	n Stock		12/09/2024		M <sup>(1)</sup>		22,205	Α	\$3.9	671,495	D		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 5. Number of 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 7. Title and Amount 8. Price of 2. Conversion Derivative Securities Acquired (A) of Securities derivative Derivative **Execution Date** Transaction **Expiration Date** Derivative Ownership of Indirect (Month/Day/Year if any (Month/Day/Year) or Exercise Price of Underlying Derivative Security Securities Beneficially Form: Direct (D) Security (Instr. 3) Code (Instr (Month/Day/Year) Security (Instr. 5) Beneficial Ownership or Disposed of (D) (Instr. 3, 4 and 5) Owned Derivative (Instr. 3 and 4) or Indirect (Instr. 4) Security Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) Amount Number of Shares Date Exercisable Expiration Date (A) (D) Title Code Stock Class A Ontior $M^{(1)}$ (3) 12/09/2024 10/18/2029 \$4 68 102 795 Commo 102,795 \$0 52 578 D Stock Buy) Stock Class A Option M<sup>(1)</sup> \$3.9 12/09/2024 22,205 (4) 11/27/2028 Commo Stock 22,205 \$0 0 D (Right to Buy)

 $S^{(1)}$ 

125.000

## **Explanation of Responses:**

Class A Common Stock

1. The transaction being reported was effected pursuant to a previously established Rule 10b5-1 trading plan dated March 26, 2024.

12/09/2024

- 2. Represents weighted average sales price. The shares were sold at prices ranging from \$42.00 to \$42.08. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 3. Provided the Reporting Person remains a director of the Company and attends in person the regularly scheduled meeting of the Board during each fiscal quarter, commencing on October 17, 2019, then 5% of the shares subject to the option shall vest on the last day of such fiscal quarter (the "Quarterly Shares") during the term of the option, provided, however, if the Reporting Person fails to attend any such regularly scheduled meeting, then vesting for the Quarterly Shares shall not occur and will be suspended (any such suspended Quarterly Shares being referred to collectively as the "Suspended Shares"). For any Suspended Shares, such shares shall vest only following the fifth anniversary of the applicable Vesting Commencement Date, if the Reporting Person satisfies the attendance requirements in subsequent periods.

4. Fully vested.

/s/ Eric Jensen, Attorney-in-Fact 12/11/2024

\*\* Signature of Reporting Person

\$42(2)

546,495

D

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.