FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response:	0.5								

5. Relationship of Reporting Person(s) to Issuer

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

1. Name and Address of Reporting Person

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Behzadi Houman				ai, Inc. [ AI ]					X	Director Officer (give title		(specify		
(Last) (First) (Middle) C/O C3.AI, INC.				3. Date of Earliest Transaction (Month/Day/Year) 06/08/2021						A below) below)  Chief Product Officer				
1300 SEAPORT BLVD, SUITE 500														
(Street) REDWOOD CITY CA 94063				4. If Amendment, Date of Original Filed (Month/Day/Year)						ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting				
(City)	(State)	(Zip)								Person	e tilaii One Rep	Staring		
		Table I - No	n-Derivative	Securities Acc	uired	, Dis	posed of,	or Ber	neficially (	Owned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of	Acquired (D) (Instr.	(A) or 3, 4 and 5)	5. Amount of Securities Form: Direct (D) or Indirect (D) cor Indirect Reported (I) (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
Class A Common	n Stock		06/08/2021		M <sup>(1)</sup>		70,000	A	\$1.86	301,108	D			
Class A Common	n Stock		06/08/2021		S <sup>(1)</sup>		4,087	D	\$61.13(2)	297,021	D			
Class A Common Stock 06/0		06/08/2021		S <sup>(1)</sup>		8,989	D	\$62.47(3)	288,032	D				
Class A Common Stock 06/0		06/08/2021		S <sup>(1)</sup>		22,127	D	\$63.33(4)	265,905	D				
Class A Common	n Stock		06/08/2021		S <sup>(1)</sup>		30,497	D	\$64.17(5)	235,408	D			
Class A Common Stock 06/08			06/08/2021		S <sup>(1)</sup>		4,300	D	\$64.97(6)	231,108	D			

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		ction of		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$1.86	06/08/2021		M <sup>(1)</sup>			70,000	(7)	06/07/2027	Class A Common Stock	70,000	\$0.00	364,668 <sup>(8)</sup>	D	

## **Explanation of Responses:**

- 1. Transaction pursuant to a previously established Rule 10b5-1 Plan
- 2. Represents weighted average sales price. The shares were sold at prices ranging from \$60.77 to \$61.62. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 3. Represents weighted average sales price. The shares were sold at prices ranging from \$61.79 to \$62.78. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 4. Represents weighted average sales price. The shares were sold at prices ranging from \$62.79 to \$63.78. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 5. Represents weighted average sales price. The shares were sold at prices ranging from \$63.79 to \$64.78. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 6. Represents weighted average sales price. The shares were sold at prices ranging from \$64.79 to \$65.40. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 7. Twenty percent (20%) of the shares subject to the option grant vested on May 12, 2018, and the remaining eighty percent (80%) of each such grant vests on a monthly basis for the following four years, so long as the Reporting Person continues to provide services through such vesting dates.
- 8. Due to rounding in connection with the reverse stock split, the total shares include 2 additional shares that were not originally reported on the Reporting Person's Form 3.

## Remarks:

/s/ Brady Mickelsen, Attorneyin-Fact

06/10/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.