SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See*  UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	rden
hours per response:	0.5

1. Name and Address of Reporting Person*     2. Issuer Name and Ticker or Trading Symbol     5. Relationship of Reporting Person*       Behzadi Houman     2. Issuer Name and Ticker or Trading Symbol     5. Relationship of Reporting Person*       (Last)     (First)     (Middle)	Instruction 1(b).	File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934			
Behzadi Houman       C3.ai, Inc. [ AI ]       (Check all applicable)         Utast)       (Eirst)       (Middle)         3. Data of Earliest Transaction (Month/Dav/Year)       C3.ai, Inc. [ AI ]		T IIC				
(Last) (First) (Middle) 3 Date of Earliest Transaction (Month/Day/Vear)	1 6	*	ů ,	(Check all applic Directo	able) r	n(s) to Issuer 10% Owner Other (specify below)
C/O C3.AI, INC. 11/15/2021 Clifer Product C 1300 SEAPORT BLVD, SUITE 500	/0 C3.AI, INC.			· · · ·	Chief Product Officer	
(Street)       REDWOOD       CA       94063       4. If Amendment, Date of Original Filed (Month/Day/Year)       6. Individual or Joint/Group Filing Line)         (CITY       CA       94063       Form filed by One Report Form filed by More than Person         (City)       (State)       (Zip)       (Zip)	REDWOOD CA CITY		4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form fi Form fi	led by One Reporti led by More than C	ing Person

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount         (A) or (D)         Price         Reported Transaction(s) (Instr. 3 and 4)			(insu: 4)				
Class A Common Stock	11/15/2021		M <sup>(1)</sup>		15,887	A	\$1.68	347,495	D			
Class A Common Stock	11/15/2021		S <sup>(1)</sup>		11,145	D	\$48.41(2)	336,350	D			
Class A Common Stock	11/15/2021		<b>S</b> <sup>(1)</sup>		1,400	D	\$49.31(3)	334,950	D			
Class A Common Stock	11/15/2021		<b>S</b> <sup>(1)</sup>		2,839	D	\$50.4 <sup>(4)</sup>	332,111	D			
Class A Common Stock	11/15/2021		<b>S</b> <sup>(1)</sup>		503	D	\$51.26(5)	331,608	D			

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$1.68	11/15/2021		<b>M</b> <sup>(1)</sup>			15,887	(6)	07/12/2026	Class A Common Stock	15,887	\$0.00	85,673	D	

Explanation of Responses:

1. Transaction pursuant to a previously established Rule 10b5-1 Plan.

2. Represents weighted average sales price. The shares were sold at prices ranging from \$47.93 to \$48.91. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. Represents weighted average sales price. The shares were sold at prices ranging from \$48.93 to \$49.61. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

4. Represents weighted average sales price. The shares were sold at prices ranging from \$50.03 to \$50.94. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

5. Represents weighted average sales price. The shares were sold at prices ranging from \$51.04 to \$51.57. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.

6. Twenty percent (20%) of the shares subject to the option grant vested on May 16, 2017, and the remaining eighty percent (80%) of each such grant vests on a monthly basis for the following four years, so long as the Reporting Person continues to provide services through such vesting dates.

Remarks:

<u>/s/ Brady Mickelsen, Attorney-</u> <u>in-Fact</u> \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.