## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPR	OVAL							
OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

											mpany Act									
1. Name and Address of Reporting Person* HOUSE PATRICIA A						2. Issuer Name <b>and</b> Ticker or Trading Symbol C3.ai, Inc. [ AI ]									5. Relationship of Reporting Person(s) to Iss (Check all applicable) X Director 10% O					
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 12/20/2021										(give title	10% Ov le Other (s below)		(specify	
(Street) REDWOOD CITY CA 94063				4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									ndividual or Joint/Group Filing (Check Applicable e)  X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(S	tate)	(Zip)																	
		Tal	ole I - No	n-Deri	vativ	e Se	ecuri	ties Ac	quired	, Dis	sposed o	f, or Be	nefic	ially	Owned					
]				2. Transaction Date (Month/Day/Year)		ar)   E	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 5)			nd	Securities Beneficial Owned Fo	eneficially wned Following		Direct Indirect tr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	mount (A) or (D)		9	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Class A Common Stock 12/2					)/2021	2021			M		1,667	A	\$1	.68	45,397		D			
Class A Common Stock 12/20					)/2021	2021			M		6,250	A	\$1	.86	51,647		D			
Class A Common Stock 12/20/					)/2021	2021			M		3,210	A	\$2	.04	54,8	357		D		
Class A Common Stock 12/20					)/2021	2021			М		3,518	A	\$3.9		58,375		D			
Class A Common Stock 12/20				)/2021	2021			М		37,836	A	\$11	.16	96,211		D				
Class A Common Stock														217,755			1 1	See Footnote <sup>(1)</sup>		
			Table II					-	-	-	oosed of, convertil			-	Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	Date, Transac Code (I				6. Date Exercis Expiration Dat (Month/Day/Ye		te	7. Title and Am of Securities Underlying Derivative Sec (Instr. 3 and 4)			Derivative Security (Instr. 5)	9. Numb derivativ Securitic Benefici Owned Followir Reporte Transac (Instr. 4)	ve es ially ng d tion(s)	10. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
					Code	v					Expiration Date	Title	Amo or Num of Share	ber						
Stock	I	I	1			1		I				Class A	1						1	

## **Explanation of Responses:**

1. The shares are held by the Patricia A. House 2020 2 Year GRAT created UTA dated September 22, 2020, of which the Reporting Person is trustee.

M

M

M

M

2 Fully vested

Option (Right to

Option (Right to

Buy) Stock

Option

Buy) Stock

Option

Buy) Stock

(Right to

(Right to

Buy)

(Right to

Buy) Stock \$1.68

\$1.86

\$2.04

\$3.9

12/20/2021

12/20/2021

12/20/2021

12/20/2021

12/20/2021

1,667

6,250

3,210

3,518

37,836

(2)

(2)

(5)

(6)

Class A

Common

Class A

Stock

Class A

Common

Stock

Class A

Commo

Stock

Class A

Stock

1,667

6,250

3,210

3,518

37,836

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

0

0

19,249(4)

35,166<sup>(4)</sup>

113,498(4)

07/18/2026

11/29/2026

11/07/2027

11/27/2028

08/26/2030

- $4. \ Due \ to \ rounding \ in \ connection \ with the \ reverse \ stock \ split, \ the \ total \ shares \ include \ 1 \ additional \ share \ that \ was \ not \ originally \ reported \ on \ the \ Reporting \ Person's \ Form \ 3.$
- 5. Provided the Reporting Person remains a director of the Company and attends in person the regularly scheduled meeting of the Board during each fiscal quarter, commencing on November 28, 2018, then 5% of the shares subject to the option shall vest on the last day of such fiscal quarter (the "Second Option Quarterly Shares") during the term of the option, provided, however, if the Reporting Person fails to attend any such regularly scheduled meeting, then vesting for the Second Option Quarterly Shares shall not occur and will be suspended (any such suspended Second Option Quarterly Shares being referred to

<sup>3.</sup> Provided the Reporting Person remains a director of C3.ai, Inc. (the "Company") and attends in person the regularly scheduled meeting of the Board during each fiscal quarter, commencing on November 8, 2017, then 5% of the shares subject to the option shall vest on the last day of such fiscal quarter (the "First Option Quarterly Shares") during the term of the option, provided, however, if the Reporting Person fails to attend any such regularly scheduled meeting, then vesting for the First Option Quarterly Shares shall not occur and will be suspended (any such suspended First Option Quarterly Shares being referred to collectively as the "First Option Suspended Shares"). For any First Option Suspended Shares, such shares shall vest only following the fifth anniversary of November 8, 2017, if the Reporting Person satisfies the attendance requirements in subsequent periods.

collectively as the "Second Option Suspended Shares"). For any Second Option Suspended Shares, such shares shall vest only following the fifth anniversary of November 28, 2018, if the Reporting Person satisfies the attendance requirements in subsequent periods.

6. Provided the Reporting Person remains a director of the Company and attends in person the regularly scheduled meeting of the Board during each fiscal quarter, commencing on August 28, 2020, then 5% of the shares subject to the option shall vest on the last day of such fiscal quarter (the "Third Option Quarterly Shares") during the term of the option, provided, however, if the Reporting Person fails to attend any such regularly scheduled meeting, then vesting for the Third Option Quarterly Shares shall not occur and will be suspended (any such suspended Third Option Quarterly Shares being referred to collectively as the "Third Option Suspended Shares"). For any Third Option Suspended Shares, such shares shall vest only following the fifth anniversary of August 28, 2020, if the Reporting Person satisfies the attendance requirements in subsequent periods.

## Remarks:

Exhibit List Exhibit 24 - Power of Attorney

/s/ Richard J. Lutton, Jr., Attorney-in-Fact 12/22/2021

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

KNOW ALL BY THESE PRESENTS that the undersigned hereby constitutes and appoints each of Thomas M. Siebel and Richard J. Lutton, Jr. of C3.ai, Inc. (the "Company"), and Eric Jensen of Cooley LLP, signing individually, as the undersigned's true and lawful attorneys-in-fact and agents to:

- 1. Prepare, execute for and on behalf of the undersigned, and submit to the Securities and Exchange Commission (the "SEC"), in the undersigned's name and capacity as an officer, director and/or beneficial owner more than 10% of a registered class of securities of the Company, Forms 3, 4 and 5 (including any amendments thereto and joint filing agreements in connection therewith) in accordance with Section 16 of the Securities Exchange Act of 1934, as amended, and the rules thereunder (the "Exchange Act");
- 2. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to prepare and execute any such Forms 3, 4 or 5, prepare and execute any amendment or amendments thereto, and joint filing agreements in connection therewith, and file such forms with the SEC and any stock exchange, self-regulatory association or similar authority; and
- 3. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney in-fact, may be of benefit to, in the best interest of, or legally required of, the undersigned, it being understood that the documents executed by such attorney in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, and their substitutes, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the earliest to occur of (a) the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, (b) revocation by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact or (c) as to any attorney-in-fact individually, until such attorney-in-fact is no longer employed by the Company or employed by or a partner at Cooley LLP, or another law firm representing the Company, as applicable.

The undersigned has caused this Power of Attorney to be executed as of this 22nd day of December, 2021.

By: /s/ Patricia A. House Name: Patricia A. House