SEC Form 4

(City)

(State)

(Zip)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington. D.C. 20549

		OMB APPROVAL				
	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		NT OF CHANGES IN BENEFICIAL OWNE ad pursuant to Section 16(a) of the Securities Exchange Act of 1934	RSHIP	OMB Number: Estimated average but hours per response:	3235-0287 rden 0.5
	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equily securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.		or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Reporting Person* <u>Witteveen Merel</u>			2. Issuer Name and Ticker or Trading Symbol <u>C3.ai</u> , <u>Inc.</u> [AI]	(Check all applicab Director Officer (gi	10%	Owner er (specify
	ast) (First) O C3.AI, INC. 00 SEAPORT BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/16/2024	. 💌 below) Sen	w)	
RI	eet) EDWOOD TY CA	94063	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	t/Group Filing (Check / by One Reporting Per	son

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150. 4)
Class A Common Stock	11/16/2024		М		10,000	A	(1)	18,687	D	
Class A Common Stock	11/16/2024		F		5,495	D	\$25.99	13,192	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(*-9	., թ	-,	,	,	•••••••			,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Execution Date, (Month/Day/Year) Transaction Gode (Instr. Deriva Security (Month/Day/Year) 8) Acquity Dispose (D) (In (D) (In (D) (In		5. Numl Derivati Securiti Acquire Dispose (D) (Inst and 5)	tive Expiration Date (Month/Day/Year) red (A) or sed of str. 3, 4			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	11/16/2024		м			10,000	(2)	(2)	Class A Common Stock	10,000	\$ <u>0</u>	110,000	D	
Restricted Stock Units	(1)	11/18/2024		A		15,072		(3)	(3)	Class A Common Stock	15,072	\$ <mark>0</mark>	15,072	D	

Explanation of Responses:

1. Each restricted stock unit (RSU) represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement.

2. 20% of such RSU award vested August 16, 2023 and 5% of such RSU award vest on a quarterly basis thereafter, so long as the Reporting Person continues to provide services through such vesting date.

3. 20% of such RSU award shall vest on November 18, 2025 and 5% of such RSU award shall vest on a quarterly basis thereafter, so long as the Reporting Person continues to provide services through such vesting date.

/s/ Eric Jensen, Attorney-in-Fact 11/19/2024

Person

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.