FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number:	3235-0287								
	Estimated average burden									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Rice Condoleezza</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol C3.ai, Inc. [ AI ]									all applic Directo	able) r	Ü	on(s) to Is	)wner	
(Last) (First) (Middle) C/O C3.AI, INC. 1300 SEAPORT BLVD, SUITE 500						3. Date of Earliest Transaction (Month/Day/Year) 03/08/2021								Officer (give title Other (specify below) below)						
(Street) REDWO	C.		94063		_   4. I	4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Indivi														
(City)	(5		(Zip) Ie I - N	on-Deri	vativ	e Sec	urit	ies Ac	auirea	d. Di	sposed o	f. or Be	neficia	ıllv C	Owned					
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day)				ction	on 2A. Deemed Execution Date,		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		(A) or	5. Amount of Securities Beneficially Owned Followin		у	6. Ownership Form: Direct (D) or Indirect ing (I) (Instr. 4)		Ownership			
						Code	v	Amount	(A) or (D)	Price	т	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Class A Common Stock 03/08/20						)21			М		75,161	A	\$0.6		149,548		D			
Class A Common Stock 03/08/20					2021	)21			S		14,357	D	\$86.04	(1)	135,191		D			
Class A Common Stock 03/08/20				′2021	)21			S		60,804	D	\$87.25	(2)	74,387		D				
Class A Common Stock 03/08/20				2021	)21		J <sup>(3)</sup>		117	A	\$0.00		117		I		See Footnote <sup>(4)</sup>			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	ar) if any	med on Date, Day/Year)	4. Transa Code ( 8)				6. Date Exerc Expiration Da (Month/Day/Y		ate	7. Title ar of Securi Underlyir Derivativ (Instr. 3 a	ties ig e Security nd 4)	De Se (In	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followir Reporte Transac (Instr. 4)	e Own Forn ally Direc or In (I) (II	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares	er						
Stock Option (Right to Buy)	\$0.6	03/08/2021			М	75,161		(5)		10/24/2022	Class A Common Stock 75,3		1 :	\$0.00 10,2		21	D			

## **Explanation of Responses:**

- 1. Represents weighted average sales price. The shares were sold at prices ranging from \$85.83 to \$86.82. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 2. Represents weighted average sales price. The shares were sold at prices ranging from \$86.89 to \$87.28. The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- 3. Pro rata distribution from Sutter Hill Ventures, a California Limited Partners, of which the Reporting Person is a non-managing member.
- $4. \ The \ shares \ are \ held \ by \ the \ Condoleezza \ Rice \ Trust \ Agreement \ U/A/D \ 11/24/99, of \ which \ the \ Reporting \ Person \ is \ trustee.$
- 5. Fully vested.

## Remarks:

/s/ Brady Mickelsen, Attorney-

\*\* Signature of Reporting Person

03/10/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.