## FORM 4

## **UNITED STATES S**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| SECURITIES AND EXCHANGE | COMMISSION |
|-------------------------|------------|
|                         |            |

| OMB APPROVAL |          |  |  |  |  |  |  |  |  |
|--------------|----------|--|--|--|--|--|--|--|--|
| OMB Number:  | 3235-028 |  |  |  |  |  |  |  |  |

0.5

(Instr. 4)

D

D

Estimated average burden hours per response:

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(h)                       |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Witteveen Merel                        |         |          |  | er Name <b>and</b> Ticke<br>i, <u>Inc.</u> [AI]             | r or Trading S                          | ymbol  |                   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |   |   |  |  |
|--|---------|----------|--|---|---|--|-------------------|---|---|---|--|--|
| (Last)<br>C/O C3.AI, INC<br>1400 SEAPOR  |         | (Middle) | 3. Date 12/07                              | e of Earliest Transa<br>/2024                               | ction (Month/I                          | Day/Year)  | <b>V</b>          | Officer (give title below)  Senior VP   | Other<br>below<br>Operations                                      | (specify<br>)                                       |  |  |
| (Street) REDWOOD CITY  | CA      | 94063    | 4. If An                                   | nendment, Date of   | Original Filed                          | (Month/Day/Year)   | 6. Indiv<br>Line) | <u> </u>  |   |   |  |  |
| (City)   | (State) | (Zip)    |  |   |   |  |                   |   |   |   |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |         |          |  |   |   |  |                   |   |   |   |  |  |
| Date   |         |          | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3,<br>5) |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                               | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |  |

Code

М

F

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Amount

3,125

1,718

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---|---|---|-------|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                                    | v | (A)   | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Stock<br>Units                        | (1)   | 12/07/2024                                 |   | М                                       |   |   | 3,125 | (2)  | (2)                | Class A<br>Common<br>Stock   | 3,125                                  | \$0   | 18,750   | D  |  |

## **Explanation of Responses:**

Class A Common Stock

Class A Common Stock

1. Each restricted stock unit (RSU) represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement.

12/07/2024

12/07/2024

2. 6.25% of such RSU award vested on the third month following July 6, 2022 and 6.25% of each RSU award vest on a quarterly basis thereafter, so long as the Reporting Person continues to provide services through such vesting date.

> /s/ Eric Jensen, Attorney-in-**Fact**

12/10/2024

16,992

15,274

Reported

(Instr. 3 and 4)

(A) or (D)

A

D

Price

(1)

\$40.52

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.