SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

omb af	PPROVAL

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obligations may continue. See Instruction 1(b). Filed			Filed p	pursuant to Section 16(a) of the Securities Exchange Act of 1934							hours per response: 0.5		
()							mpany Act of 1940						
1. Name and Address of Reporting Person [*] Lutton Richard J. Jr.				uer Name and Tick ai, <u>Inc.</u> [AI]	er or Trading	Symbol		all applicable Director	10% Owner		Owner		
(Last) C/O C3.AI, IN(1300 SEAPOR		(Middle) JITE 500			te of Earliest Trans 5/2021	action (Month	/Day/Year)	X	Officer (give below) Senior VP		Other below		
(Street) REDWOOD CITY	СА	94603		4. If A	mendment, Date o	f Original File	d (Month/Day/Year)	6. Indiv Line) X	Form filed b	y One	D Filing (Check) Reporting Per re than One Re	son	
(City)	(State)	(Zip)											
		Table I - No	n-Derivati	ive S	ecurities Acq	uired, Dis	posed of, or Bene	ficially	Owned				
1. Title of Security	(Instr. 3)		2. Transactio	on	2A. Deemed	3.	4. Securities Acquired (A) or	5. Amount of		6. Ownership	7. Nature	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction		Transaction Disposed Of (D) (Instr. 3, 4 and Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	(I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Class A Common Stock	10/15/2021		A		500,000 ⁽¹⁾	A	\$0.00	500,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the grant of Restricted Stock Units (RSUs). Each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement. Twenty percent (20%) of each such RSU award shall vest on October 15, 2022 and five percent (5%) of each such RSU award shall vest on a the last day of each fiscal quarterly basis thereafter, so long as the Reporting Person continues to provide services through such vesting date.

Remarks:

/s/ Richard J. Lutton, Jr.	<u>10/18/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See